

APR 29 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application for:

David R. Ohm

Application No.: 10/087,878

Filed: March 1, 2002

For: MONITORING A  
SEMICONDUCTOR LASER  
UTILIZING AN INCORPORATED  
BEAM SPLITTER DEVICEOffice of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
Washington, DC 20231

Examiner: Not Yet Assigned

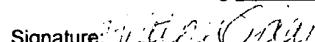
Art Group: 2633

#2  
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ORIGINALLY FILEDCERTIFICATE OF MAILING

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Date of Deposit: April 18, 2002

Name of Person Mailing: Heather L. Adamson

Signature:  Date: 04/18/2002

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REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

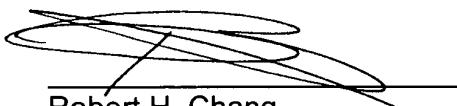
Enclosed is a copy of the official Filing Receipt for the above-referenced patent application with an error noted thereon in red. A clerical error was made on the Utility Patent Application Transmittal incorrectly stating the Attorney Docket No. as "31008.P029." Therefore, it is respectfully requested that the Attorney Docket No. be corrected to read **51040.P029** and a new Filing Receipt be issued accordingly.

The Commissioner is hereby authorized to charge shortages or credit overpayments to Deposit Account No. 501569. A Fee Transmittal is enclosed in duplicate for fee processing purposes.

Respectfully submitted,  
COLUMBIA IP LAW GROUP, PC

Dated: April 18, 2002

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Robert H. Chang  
Registration No. 48,765

10260 SW Greenburg Road, Suite 820  
Portland, Oregon 97223  
Telephone: 503-595-2800



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/087,878	03/01/2002	2633	487	31008.P029 51040.P029	4	33	3

CONFIRMATION NO. 6254

000025943  
 COLUMBIA IP LAW GROUP, PC  
 10260 SW GREENBURG ROAD  
 SUITE 820  
 PORTLAND, OR 97223

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FILING RECEIPT



\*OC00000007789059\*

Date Mailed: 04/04/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

David R. Ohm, Tigard, OR;

**Domestic Priority data as claimed by applicant****RECEIVED****Foreign Applications**

MAY 30 2002

If Required, Foreign Filing License Granted 04/03/2002

Technology Center 2600

Projected Publication Date: 09/04/2003

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title**

Monitoring a semiconductor laser utilizing an incorporated beam splitter device

**Preliminary Class**

359

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Please type a plus sign (+) inside this box → 

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/087,878
		Filing Date	March 1, 2002
		First Named Inventor	David R. Ohm
		Group Art Unit	2633
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	5	Attorney Docket Number	51040.P029

## ENCLOSURES

(check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Request for Corrected Filing Receipt; and
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Return Receipt Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

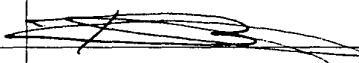
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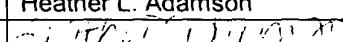
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Robert H. Chang, Reg. No. 48,765 COLUMBIA IP LAW GROUP, PC
Signature	
Date	April 18, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 04/18/2002

Typed or printed name	Heather L. Adamson
Signature	
Date	04/18/2002

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PTO/SB/17 (10-01)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**FEET TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** **(\$0.00)**

**Completer if Known**

Application Number	10/087,878
Filing Date	March 1, 2002
First Named Inventor	David R. Ohm
Examiner Name	Not Yet Assigned
Group Art Unit	2633
Attorney Docket No.	51040.P029

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **501569**  
Deposit Account Name **Columbia IP Law Group, PC**

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code (\$)	
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	760	214	80
<b>SUBTOTAL (1)</b>		<b>(\$ 0.00)</b>	

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20**=	[ ] X [ ] =	
Independent Claims	-3**=	[ ] X [ ] =	
Multiple Dependent		[ ] =	

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code (\$)	
103	18	203	9
102	84	202	42
104	28C	204	140
109	84	209	42
110	18	210	9
<b>SUBTOTAL (2)</b>		<b>(\$ 0.00)</b>	

\*\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** **(\$ 0.00)**

**SUBMITTED BY**

Name (Print/Type)	Robert H. Chang	Registration No. (Attorney/Agent)	48,765	Telephone	503-595-2800
Signature				Date	April 18, 2002

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